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As cloud computing transforms how broker-dealers operate, FINRA's Office of Financial Innovation surveyed nearly 40 broker-dealer firms, cloud service providers, industry analysts and technology ...

The Journey to the Cloud: Implications for the Securities Industry

The director of investor protection at the Consumer Federation of America will focus on 'retail investor protection, including matters relating to policy, broker-dealer oversight, investment adviser ...

Barbara Roper Joins SEC as Senior Advisor to Gensler

After a year of comment, the revised guidance ... that provide the SEC with flexibility now and in the future. But that flexibility isn't just with regard to an evolving industry and technological ...

Commentary: Keeping your data compliant under the new SEC marketing rule

From a regulatory standpoint, the SEC is reacting to an almost viral frenzy of press and product, including with the risk alert on ESG investing discussed below. There are also other forces at play, ...

Embracing ESG: AIG Counsel Talks SEC Risk Alert

China's securities industry should concentrate on better serving the real economy and further enhance compliance awareness and implementation so as to ensure the high-quality development of the ...

Securities firms urged to focus on real economy

The ongoing struggle to introduce regulatory oversight of crypto trading has intensified due to a controversial enforcement action from the U.S. Securities and Exchange Commission (SEC) against the ...

SEC commissioner decries poor guidance, enforcement in crypto space

The growing body and scope of breach notification rules from regulators, state authorities and soon the federal government risks harming their effectiveness, industry groups warn.

Industry Groups Urge Lawmakers to Streamline Cyber Breach Reporting Rules

As publicly traded companies, Voyager Digital Ltd (OTC:VYGVF) and Grayscale Bitcoin Trust (OTC:GBTC) already adhere to financial standards and self-regulation. This could lead to the companies being ...

SEC Regulations And The Cryptocurrency Market: Voyager Digital, Grayscale Bitcoin Executives Weigh In

Gary Gensler, Chair of the Securities and Exchange Commission ... The pressures are numerous and diverse: the industry is clamoring for guidance to address operational uncertainties, members ...

SEC Chair's Remarks Suggest Crypto is the "Wild West," Signaling Future Enforcement and Desire for Enhanced Regulatory Authority

This is the "Inside College Football with John Talty" newsletter. Talty will bring you weekly original insights into the SEC and other college football developments you care about. You can sign up for ...

Which SEC football coach, AD jobs could open up?

Environmental, social and governance initiatives are increasingly important to public companies, but uncertainty around ...

Intelligize® Survey Finds Companies Invite Regulatory Guidance on ESG Reporting

In a meeting held at Botanical House on Friday, August 27, 2021, with tour guides to address discuss issues pertinent to their trade, Minister for Foreign Affairs and Tourism, Sylvestre Radegonde ...

Seychelles Minister compliments tour guides for dedication to success tourism

At Fireblocks, which will be the first pure-crypto institution on his resume, Clayton will help guide ... SEC, which took a conservative and risk-adverse position towards the growing crypto ...

Former SEC Chairman Jay Clayton Joins \$2 Billion Bitcoin And Crypto Custodian

"A question going forward is whether there will be progress on rulemaking and guidance for the community ... a working group between the SEC, CFTC and industry to report on cryptocurrency regulation.

'Massive wake-up call': Crypto faces growing legal crackdown

Gov. Tony Evers on Thursday named Anne Sayers as the state tourism secretary, a post she's held as interim director since November.

Gov. Tony Evers appoints Anne Sayers as state tourism secretary

Future Co-CEOs Cathy Burns of PMA and Tom Stenzel of United Fresh have named the Executive Committee that will serve as officers for the new association. Bruce Taylor, CEO of Taylor ...

PMA and United Fresh announce industry officers of new association

Noble Corporation (NYSE: NE, "Noble" or the "Company") today announced that subsidiaries of the Company have reached a definitive agreement to sell ...

Noble Corporation Announces Divestment Of Four Jackups In Saudi Arabia, Resulting Updated 2021 Guidance And Preliminary 2022 Guidance

CNW/ - ProBility Media Corp. ("ProBility" or the "Company") (OTCPK: PBYA), an education company building the first online full-service training and career advancement brand for the skilled trades, has ...

ProBility Media Corp. Acquires Upstryve Inc., the Global Leader in Online Tutoring for the Vocational Industry

Kim Jong Il Provides Field Guidance to Songjin Steel Complex. Korean Central News Agency of DPRK via Korea News Service (KNS) Pyongyang, April 23 (KCNA) -- General Secretary Kim J ...

Kim Jong Il Provides Field Guidance to Songjin Steel Complex

Press release content from PR Newswire. The AP news staff was not involved in its creation. PHILADELPHIA, Aug. 24, 2021 /PRNewswire/ -- Arcweb Technologies, a digital transformation agency that ...

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